

ATTORNEY'S DOCKET NO. U0131/7009 RJP

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Geoffrey H. Jenkins et al.

Serial No:

10/017,475

Filed:

December 14, 2001

For:

METHOD AND APPARATUS FOR RAPIDLY STERILIZING SMALL

OBJECTS

Examiner:

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Art Unit:

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Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on the 47H day of March, 2002.

COMMISSIONER FOR PATENTS

Sir:

Transmitted herewith are the following documents:

[X] Information Disclosure Statement

[X] Form PTO-1449 and References

[X] Return Receipt Postcard

WASHINGTON, D.C. 20231

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is required, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Randy J. Pritzker Reg. No. 35,986

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue Boston, MA 02210-2211

(617)720-3500

Date: March 4, 2002

XNDD



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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 4th day of March, 2002.

Judith M. Schultz

Commissioner for Patents Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, Geoffrey H. Jenkins et al., Applicants

 $\mathbf{R}_{\mathbf{W}}$

Randy J. Pritzker, Reg No. 35,986

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600 Atlantic Avenue

Boston, MA 02210

Telephone (617) 720-3500

Docket No. U0131/7009 RJP Dated: March 4, 2002

Serial No.: 10/017,475

Art Unit: --

PART II: Information Cited

A. [X] The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

B. [X] The Applicant hereby makes the following additional information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

Serial No.	Filing Date	Title of Application
09/469,043	12/21/99	METHOD AND APPARATUS FOR STERILIZING SMALL OBJECTS
60/279,053	03/27/01	METHOD AND APPARATUS FOR RAPIDLY STERILIZING IRREGULAR-SHAPED OBJECTS
60/298,790	06/15/01	METHOD AND APPARATUS FOR DISINFECTING CATHETERS AND ENTRANCE SITES
60/300,803	06/25/01	METHOD AND APPARATUS FOR DISINFECTING CATHETERS AND CATHETER ENTRANCE SITES
60/302,861	07/03/01	METHOD AND APPARATUS FOR STERILIZING FLUID FOR MEDICAL AND OTHER APPLICATIONS
60/316,744	08/31/01	METHOD AND APPARATUS FOR DISINFECTING WOUND SITES
60/334,722	10/31/01	METHOD AND APPARATUS FOR DISINFECTING CATHETER ENTRANCE SITES WITH A DRESSING

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

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FORM Patent and Trademark Office-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT			APPLICATION NO.:	10/017,475	ATTY. DOCKET NO.: U0131/7009 RJP		
		. , 7003	FILING DATE:	December 14, 200	1		
		ÄPPLICANT:	Geoffrey H. Jenki	ns et al.			
Sheet	1	of	2		GROUP ART UNIT:		EXAMINER:

Examiner's	Cite	U.S. Patent Document	Name of Patentee or Applicant of Cited	Date of Publication or of issue	
Initials#	No.	Number Ki Co	nd Document de	Of Cited Document MM-DD-YYYY	
	1	4,239,041	Popovich et al.	12-16-1980	
	2	4,469,835	Lauria	09-04-1984	
	3	4,475,900	Popovich et al.	10-09-1984	
	4	4,503,333	Kulin et al.	03-05-1985	
	5	4,620,845	Popovich et al.	11-04-1986	
	6	4,464,336	Hiramoto	08-07-1984	
	7	4,806,770	Hylton et al.	02-21-1989	
	8	4,868,397	Tittle	09-19-1989	
	9	4,910,942	Dunn et al.	03-27-1990	
	10	4,950,902	Ritter	08-21-1990	
	11	4,952,369	Belilos	08-28-1990	
	12	4,973,847	Lackey et al.	11-27-1990	
	13	5,023,460	Foster, Jr. et al.	06-11-1991	
	14	5,126,572	Chu	06-30-1992	
	15	5,144,146	Wekhof	09-01-1992	
	16	5,185,532	Zabsky et al.	02-09-1993	
	17	5,260,020	Wilk et al.	11-09-1993	
	18	5,607,419	Amplatz et al.	03-04-1997	
	19	5,614,151	LeVay et al.	03-25-1997	
	20	5,637,877	Sinofsky	06-10-1997	
	21	5,671,314	Gregory et al.	09-23-1997	
	22	5,695,482	Kaldany	12-09-1997	
	23	5,786,598	Clark et al.	07-28-1998	
	24	5,892,233	Clement	04-06-1999	
	25	5,898,277	Farnsworth et al.	04-27-1999	
	26	5,920,075	Whitehead	07-06-1999	
	27	5,925,885	Clark et al.	07-20-1999	
	28	5,996,155	Chao et al.	12-07-1999	
	29	6,087,781	Leppelmeier	07-11-2000	
	30	6,090,346	Rose et al.	07-18-2000	
	31	6,132,784	Brandt et al.	10-17-2000	

FORM Patent and Trademark Office-1449/A and B APPLICATION NO .: 10/017,475 ATTY. DOCKET NO.: U0131/7009 RJP (Modified) FILING DATE: December 14, 2001 INFORMATION DISCLOSURE APPLICANT: STATEMENT BY APPLICANT Geoffrey H. Jenkins et al. **GROUP ART UNIT:**

EXAMINER: --

FOREIGN PATENT DOCUMENTS

Examiner's	Cite	e Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Tuonalation
Initials# No.	Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	Translation (Y/N)	
	32	EP	1 033 138 🐍	A1	Johnson & Johnson Vision Care Inc.	09-06-2000	Y
	33	GB	2 301 272 , 4	A	Merwood Limited	11-27-1996	Ÿ
	34	FR	2 492 665 1	A1	Simeon	04-30-1982	N
	35	EP	0 925 793 6	A2	Kabushiki Kaisha Lucent	06-30-1999	Y

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the	Translation	
Initials# No item (book, magazine, journal, s		item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	(Y/N)	
	36	"Derma-Wand Germicidal UVC Lamp", National Biological Corporation, Form No. DW-1, 12/98		
	37	ROVA UV TOOTHBRUSH STERILIZER for Homeuse, for a New Sanitary Life, RD-930 Toothbrush Ultraviolet (UV), RoadPia Brand! Pages 1-2, Jei Corporation, printed 2/27/00	Sterilizer	
	38	Test Result RD-930 RoadPia UV Toothbrush Sterilizer, pages 1-2, Jei Corporation, printed 2/27/00		

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

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